PTO/SB/05 (05-03)

Approved for use through 04/30/2003. OMB 0651-0032

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Attorney Docket No. | 8733 876 00 U.S.

Attorney Docket No. 8733.876.00-US

| UTILITY | First Ir | Inventor Gue Tai LEE et al. | | | | | | | | | | | | |
|--|------------|--|-----------------------------------|---------------------------------------|----------------|----------------------------------|--------|--|--|--|--|--|--|--|
| PATENT APPLICATION | | | ARRAY SUBSTRATE FOR USE IN IN-PLA | | | | | | | | | | | |
| TRANSMITTAL | Title | SWITCHING MODE LIQUID CRYSTAL DISPLAY | | | | | | | | | | | | |
| (Only for new nonprovisional applications under 37 CFR 1.53(b)) | DEVICE | | | | | | | | | | | | | |
| (City) to the members of the control | Expres | ss Mail Label No. | | | | | | | | | | | | |
| | | | | MS Paten | t App | lication | 91 | | | | | | | |
| APPLICATION ELEMENTS | | ADDR | ESS TO | | | for Patents | 0391 | | | | | | | |
| See MPEP chapter 600 concerning utility patent application con | itents. | | | Alexandria | a, VA | 22313-1450 | | | | | | | | |
| 1. X Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing) | 7. | | or CD-R in dupl Program (Appe | | large table or | | | | | | | | | |
| 2. Applicant Claims small entity status. | | Nucleotide and/or Amino Acid Sequence Submission | | | | | | | | | | | | |
| See 37 CFR 1.27. | <u> </u> | (if a | | all necessary) | | DE) | | | | | | | | |
| 3. X Specification [Total Pages 20] a. Computer Readable Form (CRF) (preferred arrangement set forth below) b. Specification Sequence Listing on: | | | | | | | | | | | | | | |
| - Descriptive title of the invention | • | _ | | | | | | | | | | | | |
| Cross Reference to Related Applications Statement Regarding Fed sponsored R & D | | i. CD-ROM or CD-R (2 copies); or ii. Paper | | | | | | | | | | | | |
| Reference to sequence listing, a table, or a computer program listing appendix | | c. | Stateme | ents verifying id | entity | of above copies | | | | | | | | |
| - Background of the Invention | | ACCOMPANYING APPLICATIONS PARTS | | | | | | | | | | | | |
| Brief Summary of the Invention Brief Description of the Drawings (if filed) | | 9. X | Assignme | nt Papers (cove | er shee | et & document(s)) | | | | | | | | |
| - Detailed Description - Claim(s) - Abstract of the Disclosure | | 10. | | .73(b) Statemer | | Power of Attorney | | | | | | | | |
| 4. X Drawing(s) (35 U.S.C. 113) [Total Sheets 7 | 7] | 11. | 1 | ranslation Docu | | · | | | | | | | | |
| 5. Oath or Declaration [Total Sheets 3 | 3] | 12. X | | n Disclosure t (IDS)/PTO-144 | 49 [| X Copies of IDS Citations | | | | | | | | |
| a. X Newly executed (original or copy) | | 13. | 1 | y Amendment | | | | | | | | | | |
| b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) | | 14. X | | eceipt Postcard e specifically ite | | | | | | | | | | |
| i. DELETION OF INVENTOR(S) | İ | 15 Certified Copy of Priority Document(s) | | | | | | | | | | | | |
| Signed statement attached deleting inventor(s) named in the prior application, | | (if foreign priority is claimed) Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). | | | | | | | | | | | | |
| see 37 CFR 1.63(d)(2) and 1.33(b). | 1 | must attach form PTO/SB/35 or its equivalent. Check for \$1,098.00 | | | | | | | | | | | | |
| | | 17. X | | | | nd Submission of | l | | | | | | | |
| 6. Application Data Sheet. See 37 CFR 1.76 | | | | Documents | | | i | | | | | | | |
| 18. If a CONTINUING APPLICATION, check appropriate box, a | and supp | ly the requi | site informa | ition below and | in the | first sentence of the specifical | tion | | | | | | | |
| following the title, or in an Application Data Sheet under 37 CFR Continuation Divisional Continuation | | CIP) of o | rior applicati | ion No : | | | | | | | | | | |
| | po (| J., J., | | - | | | | | | | | | | |
| Prior application information: Examiner For CONTINUATION or DIVISIONAL APPS only: The entire of | lisclosur | e of the pri | | Art Unit: on, from which a | an oatl | h or declaration is supplied | | | | | | | | |
| under Box 5b, is considered a part of the disclosure of the acco | mpanyin | g continual | ion or divisi | ional applicatio | n and | is hereby incorporated by | | | | | | | | |
| reference. The incorporation can only be relied upon when a po | | | **** | | submi | tted application parts. | _ | | | | | | | |
| 19. CORRESPONDENCE ADDRESS | | | | | | | | | | | | | | |
| Customer Number of Bar Code Label | | | | or [| x | orrespondence address belov | | | | | | | | |
| Customer Number or Bar Code Label | | | | ا ان ا | <u> </u> | orrespondence address belov | • | | | | | | | |
| TAGKENINA LONG & ALBBIDO | | | | | | | | | | | | | | |
| Name MCKENNA LONG & ALDRIDG | E LLP | | | | | | | | | | | | | |
| Song K. Jung | | | | | | | | | | | | | | |
| Address 1900 K Street, N.W. | | | | | | | | | | | | | | |
| City Washington State | DC | | Zip Code 20006 | | | | | | | | | | | |
| Country US Tele | phone | (202) 4 | 96-7500 |) | Fax | (202) 496-7756 | | | | | | | | |
| Name (Print/Type) Rebecca Goldman Rudio | ch | Reg | stration No. | (Attorney/Ager | nt) | 41,786 | \Box | | | | | | | |
| Signature House Wilden | ac | X | | Date | No | ovember 18, 2003 | | | | | | | | |
| | | | | | | | | | | | | | | |
|) / | TENT TR | ADEMARK (| OFFICE | | | PATENT TRADEMARK OFFICE | | | | | | | | |



PTO/SB/17 (05-03)
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| | Co | Complete if Known | | | | | | |
|---|----------------------|--------------------|--|--|--|--|--|--|
| FEE TRANSMITTAL | Application Number | ТВА | | | | | | |
| for FY 2003 | Filing Date | November 18, 2003 | | | | | | |
| iffective 01/01/2003, Patent fees are subject to annual revision. | First Named Inventor | Gue Tai LEE et al. | | | | | | |
| nective 01/01/2003, Paterit lees are subject to annual revision. | Examiner Name | Not Yet Assigned | | | | | | |
| Applicant claims small entity status. See 37 CFR 1.27 | Art Unit | N/A | | | | | | |
| AMOUNT OF PAYMENT (\$) 1.008.00 | Attorney Docket No. | 8733 876 00-US | | | | | | |

| TOTAL A | MOUNT | OF I | PAYMENT | (\$) | 1,098.00 | Attorney Docket No. 8733.876.00-US | | | | | | |
|---|------------|---------------|--|-----------------|---------------------------|------------------------------------|-----------------------------------|----------|------------------------------------|--|--|----------|
| MET | HOD C | F PA | YMENT (chec | ck all that | apply) | FEE CALCULATION (continued) | | | | | | |
| X Check Credit Money Other None | | | | | 3. ADDITIONAL FEES | | | | | | | |
| | | | | | | | | | | | | |
| Deposit Account | | | | Large | Large Entity Small Entity | | | | | | | |
| Deposit Account | | | 50-0911 | | | Fee | ee Fee Fee Fee Fee Description | | | | Fee Description | |
| Number | | | | | | Code | (\$) | Code | (\$) | | r de Besonption | Fee Paid |
| Deposit Account McKenna Long & Aldridge LLP | | | | | 1051 | 130 | 2051 | 65 | Surcharge | e – late filing fee or oath | | |
| Name | | | | | 1052 | 50 | 2052 | 25 | | e - late provisional filing fee or cover | | |
| The Director is hereby authorized to: (check all that apply) | | | | | | | | sheet. | | | | |
| l <u>□</u> | fee(s) ind | | | • | overpayments | 1053 | 130 | 1053 | 130 | Non-Engli | sh specification | |
| Charge any additional fee(s) during the pendency of this application | | | | 1812 | 2,520 | 1812 | 2,520 | _ | request for ex parte reexamination | | | |
| Charge | fee(s) ind | icated h | nelow except for | r the filing t | ioo | 1804 | 920* | 1804 | 920* | Examiner | ng publication of SIR prior to action | |
| Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account. | | | | | 1805 | 1,840* | 1805 | 1,840* | Requestir | ng publication of SIR after | | |
| FEE CALCULATION | | | | | | 1251 | 110 | 2251 | 55 | Examiner Extension | for reply within first month | |
| 1. BASIC FILING FEE | | | | | | 1252 | 410 | 2252 | 205 | | for reply within second month | |
| Large Entity | | | | | | 1253 | 930 | 2253 | 465 | Extension | for reply within third month | |
| Fee Fee | Fee | Fee | Fee Desc | ription | Fee Paid | 1254 | 1,450 | 2254 | 725 | Extension | for reply within fourth month | |
| Code (\$) 1001 770 | 2001 | (\$) 385 | Utility filing fee |) | 770.00 | 1255 | 1,970 | 2255 | 985 | Extension | for reply within fifth month | |
| 1002 340 | 2002 | 170 | Design filing for | | | 1401 | 320 | 2401 | 160 | Notice of | Appeal | _ |
| 1003 530 | 2003 | 265 | Plant filing fee | ! | | 1402 | 320 | 2402 | 160 | Filing a br | ief in support of an appeal | |
| 1004 770 | 2004 | 385 | Reissue filing | fee | | 1403 | 280 | 2403 | 140 | Request f | or oral hearing | |
| 1005 160 2005 80 Provisional filing fee | | 1451 | 1,510 | 1451 | 1,510 | Petition to | institute a public use proceeding | | | | | |
| | | SU | IBTOTAL (1) | (\$) | 770.00 | 1452 | 110 | 2452 | 55 | | revive – unavoidable | |
| 30B101AL (1) (\$) 770.00 | | | | | 1453 | 1,300 | 2453 | 650 | Petition to | revive - unintentional | | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | | | | | | 1501 | 1,300 | 2501 | 650 | Utility issu | e fee (or reissue) | |
| | | | | e from pelow | Fee Paid | 1502 | 470 | 2502 | 235 | Design iss | sue fee | |
| Total Claims | 36 | -20** | = 16 x | 18.00 = | 288.00 | 1503 | 630 | 2503 | 315 | Plant issu | e fee | |
| Independent Claims | 2 | -3** = | : 0 x | 86.00 = | 0.00 | 1460 | 130 | 1460 | 130 | Petitions t | to the Commissioner | |
| Multiple Depe | endent | | | - | | 1807 | 50 | 1807 | 50 | Processin | g fee under 37 CFR 1.17(q) | |
| Large Entity | Small E | Entity | | | | 1806 | 180 | 1806 | 180 | Submissio | on of Information Disclosure Stmt | |
| Fee Fee | Fee | Fee | <u>Fee</u> | Descriptio | <u>n</u> | 8021 | 40 | 8021 | 40 | | each patent assignment per | 40.00 |
| Code (\$) 1202 18 | 2202 | (\$) | Claims in exces | | | 1809 | 750 | 2809 | 375 | | times number of properties) ubmission after final rejection | |
| 1201 86 | 2201 | | Independent cla | | ess of 3 | 1009 | 750 | 2009 | 3/3 | (37 ČFR | | |
| 1203 290 | 2203 | 145 | Multiple depend | ent claim, i | if not paid | 1810 | 750 | 2810 | 375 | | additional invention to be (37CFR 1.129(b)) | |
| 1204 86 | 2204 | 43 | ** Reissue inde | | aims | 1801 | 750 | 2801 | 375 | | or Continued Examination (RCE) | |
| 1205 18 | 2205 | 9 | over original prover original prover original proverse proverse provents or the control of the control of the control or the c | | s of 20 | 1802 | 900 | 1802 | 900 | | or expedited examination in application | |
| "" | | • | and over orig | | | Other | fee (spe | cify) | | | | - |
| SUBTOTAL (2) (\$) 288.00 | | | | | | *Redu | iced by l | Basic Fi | ling Fee | Paid | SUBTOTAL (3) (\$) | 40.00 |
| **or number | previous | | , if greater; For | | see above | | | | | | | |
| | | | | | | | | | | | | |

| SUBMITTED BY Complete (if applicable) | | | | | | | |
|---------------------------------------|------------------------|--------------------------------------|--------|-----------|-------------------|--|--|
| Name (Print/Type) | Rebecca Goldman Rudich | Registration No. (Attorney/Agent) | 41,786 | Telephone | (202) 496-7463 | | |
| Signature | Jobecca Soldno | the | | Date | November 18, 2003 | | |